

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

38523.000156

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

**10/553 670**

INTERNATIONAL APPLICATION NO.

PCT/US2004/011901

INTERNATIONAL FILING DATE

16 April 2004

PRIORITY DATE CLAIMED

16 April 2003

TITLE OF INVENTION

**NOVEL IMMUNOGENIC COMPOSITIONS FOR THE PREVENTION AND TREATMENT OF MENINGOCOCCAL DISEASE**

APPLICANT(S) FOR DO/EO/US

**Gary W. ZLOTNICK, et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau. (*a courtesy copy is attached*)
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A Preliminary Amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Unexecuted Declaration and Appointment of Power of Attorney

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.7)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
<b>10/553670</b>		PCT/US2004/011901		38523.000156	
The following fees have been submitted:				CALCULATIONS PTO USE ONLY	
21.	<input checked="" type="checkbox"/> <b>Basic National Fee (37 CFR 1.492(a))</b>	<b>\$ 300.00</b>		<b>\$ 300.00</b>	
22.	<input type="checkbox"/> <b>Examination Fee (37 CFR 1.492(c))</b>				
If the written opinion prepared by ISA/US or the International Preliminary Examination Report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				<b>\$ 0.00</b>	
All other situations				<b>\$ 200.00</b>	
23.	<input type="checkbox"/> <b>Search Fee (37 CFR 1.492(b))</b>				
If the written opinion of the ISA/US or the International Preliminary Examination Report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				<b>\$ 0.00</b>	
Search Fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				<b>\$ 100.00</b>	
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB				<b>\$ 400.00</b>	
All other situations				<b>\$ 500.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 600.00</b>	
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing filed in an electronic medium) (37 CFR 1.492(j)).					
The fee is \$250.00 for each additional 50 sheets of paper or fraction					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
158 - 100	58 /50	60	x \$250.00	<b>\$ 500.00</b>	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h))				<b>\$</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	<b>\$</b>	
Total claims	109 - 20 =	89	x \$ 50.00	<b>\$ 4,450.</b>	
Independent claims	32 - 3 =	29	x \$200.00	<b>\$ 5,800.</b>	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	<b>\$</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 11,350.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees above are reduced by 1/2.				<b>\$</b>	
<b>SUBTOTAL =</b>				<b>\$ 11,350.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<b>\$</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 11,350.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				<b>\$</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 11,350.00</b>	
				<b>Amount to be refunded:</b>	<b>\$</b>
				<b>Amount to be charged:</b>	<b>\$</b>

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.7) <b>10/553670</b>		INTERNATIONAL APPLICATION NO. PCT/US2004/011901	ATTORNEY'S DOCKET NUMBER 38523.000156
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a. ☒ A check in the amount of **\$11,350.00** to cover the above fees is enclosed.

b. ☐ Please charge Deposit Account No. **50-0206** in the amount of \$\_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.


c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit to Deposit Account No. **50-0206**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**CUSTOMER NO. 21967**  
Intellectual Property Department  
Hunton & Williams LLP  
1900 K Street, N.W.; Suite 1200  
Washington, DC 20006-1109  
(202) 955-1500 (telephone)  
(202) 778-2201 (facsimile)

	
SIGNATURE	
Scott F. Yarnell	
NAME	
45,245	
REGISTRATION NUMBER	DATE <i>October 17, 2005</i>